

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: UM-06609	Serial No.: 09/940,243		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use General Sheets If Necessary)				Applicant: James R. Baker, Jr. <i>et al.</i>			
(37 CFR § 1.98(b))				Filing Date: 08/27/2001	Group Art Unit: 1615		
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Serial Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
BB ↓		4,507,466	03/26/85	Tomalia <i>et al.</i>	528	332	01/07/83
	2	4,558,120;	12/10/85	Tomalia <i>et al.</i>	528	363	12/27/83
	3	4,568,737;	02/04/86	Tomalia <i>et al.</i>	528	332	08/14/84
	4	4,587,329;	05/06/86	Tomalia <i>et al.</i>	528	363	07/19/85
	5	4,631,337;	12/23/86	Tomalia <i>et al.</i>	528	391	07/15/85
	6	4,694,064;	09/15/87	Tomalia <i>et al.</i>	528	332	02/28/86
	7	4,713,975;	12/22/87	Tomalia <i>et al.</i>	73	865.8	05/30/86
	8	4,737,550;	04/12/88	Tomalia	525	418	12/08/86
	9	4,857,599;	08/15/89	Tomalia <i>et al.</i>	525	259	02/08/88
	10	4,871,779;	10/03/89	Killat <i>et al.</i>	521	28	12/23/85
	11	5,338,532;	08/16/94	Tomalia <i>et al.</i>	424	1.49	02/13/91
	12	5,387,617;	02/07/95	Hedstrand <i>et al.</i>	521	79	11/08/93
	13	5,393,797;	02/28/95	Hedstrand <i>et al.</i>	521	134	06/13/94
	14	5,393,795;	02/28/95	Hedstrand <i>et al.</i>	521	134	06/13/94
	15	5,527,524;	06/18/96	Tomalia <i>et al.</i>	424	1.33	04/05/93
	16	5,560,929;	10/01/96	Hedstrand <i>et al.</i>	424	486	11/12/93
	17	5,773,527;	06/30/98	Tomalia <i>et al.</i>	525	417	01/20/95
	18	5,631,329;	05/20/97	Yin <i>et al.</i>	525	417	03/21/95
	19	5,902,863;	05/11/99	Dvornic <i>et al.</i>	525	431	07/21/97
	20	5,795,582;	08/18/98	Wright	424	400	02/07/96
	21	5,898,005;	04/27/99	Singh <i>et al.</i>	436	527	04/13/94
	22	5,861,319;	01/19/99	Lin <i>et al.</i>	436	527	10/28/94
	23	5,661,025;	08/26/97	Szoka <i>et al.</i>	435	172.3	06/07/95
	24	4,946,778;	08/07/90	Ladner <i>et al.</i>	435	69.6	01/19/89
	25	5,935,114;	08/10/99	Jang <i>et al.</i>	604	264	12/09/96
	26	5,908,413;	06/01/99	Lange <i>et al.</i>	604	529	10/03/97
	27	5,792,105;	08/11/98	Lin <i>et al.</i>	604	96	09/11/96
	28	5,693,014;	12/02/97	Abele <i>et al.</i>	604	96	05/15/95
	29	5,674,192;	10/07/97	Sahatjian <i>et al.</i>	604	28	07/23/93
	30	5,876,445;	03/02/99	Andersen <i>et al.</i>	623	11	11/26/96
	31	5,913,894;	06/22/99	Schmitt	623	1	10/20/95
	32	5,868,719;	02/09/99	Tsukernik	604	265	01/15/97
	33	5,851,228;	12/22/98	Pinheiro	623	1	08/22/96
Examiner: /Bethany Barham/				Date Considered: 10/19/2006			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicant: James R. Baker, Jr. <i>et al.</i>			
				Filing Date: 08/27/2001		Group Art Unit: 1615	
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
↓	34	5,843,089;	12/01/98	Sahatjian <i>et al.</i>	606	108	09/20/96
	35	5,800,519;	09/01/98	Sandock	623	1	11/04/96
	36	5,800,508;	09/01/98	Goicoechea <i>et al.</i>	623	1	06/05/95
	37	5,800,391;	09/01/98	Kontos	604	96	03/15/96
	38	5,354,308;	10/11/94	Simon <i>et al.</i>	606	198	05/01/92
	39	5,755,722;	05/26/98	Barry <i>et al.</i>	606	108	12/22/94
	40	5,733,303	03/31/98	Israel <i>et al.</i>	606	198	05/31/95
	41	5,866,561;	02/02/99	Ungs	514	182	08/21/97
	42	5,857,998;	01/12/99	Barry	604	96	06/02/97
	43	5,843,003;	withdrawn				
	44	5,933,145;	08/03/99	Meek	345	348	04/17/97
	45	5,892,020;	04/06/99	Mezes <i>et al.</i>	536	23.53	06/07/95
	46	5,892,019;	04/06/99	Schlom <i>et al.</i>	536	23.53	09/01/94
	47	5,512,443;	04/30/96	Schlom <i>et al.</i>	435	7.23	07/02/90
	48	5,693,763	12/02/97	Codington <i>et al.</i>	530	387.7	06/06/95
	49	5,545,530;	08/13/96	Satomura <i>et al.</i>	435	6	05/17/95
	50	5,808,005;	09/15/98	Codington <i>et al.</i>	530	395	06/06/95
	51	4,708,930;	11/24/87	Kortright <i>et al.</i>	435	7	02/15/85
	52	4,743,543;	05/10/88	Kortright	435	7	09/09/85
	53	4,921,789;	05/01/90	Salem <i>et al.</i>	435	7	04/20/88
	54	4,921,790;	05/01/90	O'Brien	435	7	04/24/87
	55	5,110,911;	05/05/92	Samuel <i>et al.</i>	530	395	11/02/89
	56	5,855,866	01/05/99	Thorpe <i>et al.</i>	424	1.49	03/02/94
	57	4,963,484;	10/16/90	Kufe	435	69.3	01/29/88
	58	5,053,489;	10/01/91	Kufe	530	350	01/27/89
59	4,939,240	07/03/90	Chu <i>et al.</i>	530	387	09/11/85	
60	4,918,164;	04/17/90	Hellstrom <i>et al.</i>	530	387	11/04/87	
61	4,914,021;	04/03/90	Toth <i>et al.</i>	435	7	03/04/88	
62	4,892,935;	01/09/90	Yoshida <i>et al.</i>	530	387	07/06/87	
63	5,475,096;	12/12/95	Gold <i>et al.</i>	563	23.1	06/10/91	
64	5,270,163;	12/14/93	Gold <i>et al.</i>	435	6	08/17/92	
65	5,714,166	02/03/98	Tomalia <i>et al.</i>	424	486	03/07/95	
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				Filing Date: 08/27/2001	Group Art Unit: 1615			
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	BB	66	WO 97/38134		PCT			
		67	WO 98/33941;		PCT			
		68	WO 99/07724;		PCT			
		69	PCT/US90/02545		PCT			
		70	WO 99/02651		PCT			
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)								
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		72	Kerr <i>et al.</i> , Cancer 73:2013 [1994];					
		73	Cohen and Tohoku, Exp. Med., 168:351 [1992];					
		74	Fujiwara <i>et al.</i> , J. Natl. Cancer Inst., 86:458 [1994];					
		75	Tomalia, Advanced Materials 6:529 [1994];					
		76	Tomalia, Angew. Chem. Int. Ed. Engl., 29:138 [1990]					
		77	Tomalia <i>et al.</i> , Chem. Int. Ed. Engl., 29:5305 [1990];					
		78	Yin <i>et al.</i> , J. Am. Chem. Soc., 120:2678 [1998];					
		79	Roberts <i>et al.</i> , J. Biomed. Mat. Res., 30:53 [1996]					
		80	Bourne <i>et al.</i> , J. Magn. Reson. Imag., 6:305 [1996];					
		81	Singh <i>et al.</i> , Clin. Chem., 40:1845 [1994];					
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		83	Wiener <i>et al.</i> , Magn. Reson. Med, 31:1 [1994]					
		84	Barth <i>et al.</i> , Bioconjugate Chem. 5:58 [1994];					
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Examiner: /Bethany Barham/		Date Considered: 10/19/2006			
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Substitute for form 1449/PTO

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Complete if Known

Application Number	10/402,267 09940243
Filing Date	08/27/01
First Named Inventor	Baker
Art Unit	1635-1615
Examiner Name	Ashen BARHAM
Attorney Docket Number	UM-06609

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	16
		Country Code ² -Number ⁴ -Kind Code ³ (if known)				
BB	192	WO 99/61662	02/12/99	PCT		
↓	193	WO 97/07398	27/02/97	PCT		
	194	WO 01/02861	11/01/01	PCT		
	195	EP 0 271 180	15/06/1988	EP		

**Examiner
Signature**

/Bethany Barham/

Date
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